

BESCHEINIGUNG DER SCHWEIZERISCHEN BEHÖRDE, DIE DAS ERSUCHEN UM UNTERLAGEN SEITENS DER VEREINIGTEN STAATEN ERLEDIGT

Ich bescheinige hiermit, dass ich WEBER Martina
(Name)
das Amt der/des Staatsanwältin
(Titel, z.B. Untersuchungsrichter)
ausübe und dass ich in dieser Eigenschaft mit der Erledigung des Ersuchens der Vereinigten Staaten im Rahmen des Staatsvertrags zwischen den Vereinigten Staaten von Amerika und der Helvetischen Eidgenossenschaft über gegenseitige Rechtshilfe in Strafsachen in Sachen
Abellan / Biozoom beauftragt worden bin.

Ich bescheinige, dass ich gemäss dem Ersuchen der Vereinigten Staaten einen zuständigen Beamten der Compagnie Bancaire Helvétique SA (CBH SA)
(Anstalt oder Firma, deren Unterlagen gesucht werden)
um Ausfüllung und Unterzeichnung der beiliegenden Echtheitsbescheinigung gebeten habe. Ich bescheinige, dass die Unterschrift, mit welcher diese Echtheitsbescheinigung versehen ist, die eigenhändige Unterschrift von th. Cordenier bzw. ch. Robinson
(Name des Beamten der Anstalt od. Firma, deren
Unterlagen gesucht werden) und dass der letztere im Dienste der/des CBH SA
(Name der Anstalt

od. Firma, deren Unterlagen gesucht werden)

tätig ist und als Aufbewahrer der Geschäftsunterlagen wirkt.

9. Okt 2019
(Datum)



W Weber
(Unterschrift)
Staatsanwältin
(Titel)

Stempel

CBH

Compagnie
Bancaire
Helvétique

Certificate of Authenticity of Business Records

ACCOUNT 28343 Magdalena TAVELLA

Geneva, September 26, 2019

This Certificate is issued at the request and for the Prosecutor of the Canton of Zug (Staatsanwaltschaft) in relation to procedure n° RHI 2018 112 WEM.

We the undersigned, are employed by CBH Compagnie Bancaire Helvétique SA, respectively as CEO and Head of the Legal Department. We are authorized and qualified to make this declaration on behalf of our Employer, understanding that we are subject to criminal penalty under Article 307 of the Swiss Penal Code for an intentionally false declaration.

We declare that the documents related to this account and remitted to the Prosecutor of the Canton of Zug via USB key entitled :

- Account_opening_ documents
- Account_statements
- Portfolio_valuations
- Transfer_advices
- Cash_transactions.xls

are true copies of records which:

1. were made at or near the time of the occurrence of the matters set forth therein, by (or from information transmitted by) a person with knowledge of those matters;
2. were kept in the course of a regularly conducted business activity;
3. were made by the said business activity as a regular practice; and,
4. if not original records, are duplicates of original records or extracts of the Bank's database (such as the file entitled : Cash-transactions.xls);

The originals or duplicates of these records are maintained in the country of: SWITZERLAND

CBH Compagnie Bancaire Helvétique SA



Philippe Cordonier



Christopher Robinson

CBH

Compagnie
Bancaire
Helvétique

Certificate of Authenticity Of Business Records

ACCOUNT 28344 Garcia Blaya GONZALO

Geneva, September 26, 2019

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3. were made by the said business activity as a regular practice; and,
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The originals or duplicates of these records are maintained in the country of: SWITZERLAND.

CBH Compagnie Bancaire Helvétique SA



Philippe Cordonier



Christopher Robinson



Certificate of Authenticity of Business Records

ACCOUNT 28345 Daniela Patricia GOLDMAN

Geneva, September 26, 2019

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- Account_opening_ documents
- Account_statements
- Portfolio_valuations
- Securities_advices
- Transfer_advices
- Cash_transactions.xls
- Securities transactions.xlsm

are true copies of records which:

1. were made at or near the time of the occurrence of the matters set forth therein, by (or from information transmitted by) a person with knowledge of those matters;
2. were kept in the course of a regularly conducted business activity;
3. were made by the said business activity as a regular practice; and,
4. if not original records, are duplicates of original records or extracts of the Bank's database (such as the file entitled : Cash-transactions.xls and Securities transactions.xlsm);

The originals or duplicates of these records are maintained in the country of: SWITZERLAND.


Philippe Cordonier


Christopher Robinson



Certificate of Authenticity of Business Records

ACCOUNT 28346 Fernando LOUREYRO

Geneva, September 26, 2019

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We declare that the documents related to this account and remitted to the Prosecutor of the Canton of Zug via USB key entitled :

- Account_opening_ documents
- Account_statements
- Portfolio_valuations
- Securities_advices
- Transfer_advices
- Cash_transactions.xls
- Securities transactions.xlsm

are true copies of records which:

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3. were made by the said business activity as a regular practice; and,
4. if not original records, are duplicates of original records or extracts of the Bank's database (such as the file entitled : Cash-transactions.xls and Securities transactions.xlsm);

The originals or duplicates of these records are maintained in the country of: SWITZERLAND.

A blue ink signature of Philippe Cordonier, consisting of a stylized, cursive script.

Philippe Cordonier

CBH Compagnie Bancaire Helvétique SA

A blue ink signature of Christopher Robinson, consisting of a stylized, cursive script.

Christopher Robinson



Certificate of Authenticity of Business Records

28347 Mariano Augusto GRACIARENA

Geneva, September 26, 2019

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- Security_advices
- Securities_transactions.xlsm

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3. were made by the said business activity as a regular practice; and,
4. if not original records, are duplicates of original records or extracts of the Bank's database (such as the file entitled : Securities transactions.xlsm).

The originals or duplicates of these records are maintained in the country of: SWITZERLAND.


Philippe Cordonier


Christopher Robinson



Certificate of Authenticity of Business Records

ACCOUNT 655676 LAJAS TRADING SA

Geneva, September 26, 2019

This Certificate is issued at the request and for the Prosecutor of the Canton of Zug (Staatsanwaltschaft) in relation to procedure n° RHI 2018 112 WEM.

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We declare that the documents related to this account and remitted to the Prosecutor of the Canton of Zug via USB key entitled :

- Account_opening_ documents
- Account_statement
- Portfolio_valuations
- Securities_advices

are true copies of records which:

1. were made at or near the time of the occurrence of the matters set forth therein, by (or from information transmitted by) a person with knowledge of those matters;
2. were kept in the course of a regularly conducted business activity;
3. were made by the said business activity as a regular practice; and,
4. if not original records, are duplicates of original records.

The originals or duplicates of these records are maintained in the country of: SWITZERLAND.

CBH Compagnie Bancaire Helvétique SA

A blue ink signature of Philippe Cordonier, consisting of a stylized 'C' followed by a series of loops.

Philippe Cordonier

A blue ink signature of Christopher Robinson, consisting of a stylized 'C' followed by a series of loops.

Christopher Robinson

CBH

Compagnie
Bancaire
Helvétique

Certificate of Authenticity of Business Records

ACCOUNT 656172 FIRLE TRADING SA

Geneva, September 26, 2019

This Certificate is issued at the request and for the Prosecutor of the Canton of Zug (Staatsanwaltschaft) in relation to procedure n° RHI 2018 112 WEM.

We the undersigned, are employed by CBH Compagnie Bancaire Helvétique SA, respectively as CEO and Head of the Legal Department. We are authorized and qualified to make this declaration on behalf of our Employer, understanding that we are subject to criminal penalty under Article 307 of the Swiss Penal Code for an intentionally false declaration.

We declare that the documents related to this account and remitted to the Prosecutor of the Canton of Zug via USB key entitled :

- Account_opening_ documents
- Account_statement
- Portfolio_valuations
- Securities_advices

are true copies of records which:

1. were made at or near the time of the occurrence of the matters set forth therein, by (or from information transmitted by) a person with knowledge of those matters;
2. were kept in the course of a regularly conducted business activity;
3. were made by the said business activity as a regular practice; and,
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CBH Compagnie Bancaire Helvétique SA



Philippe Cordonier



Christopher Robinson